

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Kaiser, et al.  
Serial No. : 09/465,607  
Filing Date : December 17, 1999  
Title : COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM  
WITH A VIRTUAL SPECIALIST FUNCTION



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PETITION UNDER 37 CFR 1.102(d)

Applicants hereby petition to make the above-referenced application special under 37 CFR 1.102(d). This petition is accompanied by a statement from the Applicants' representative and a check for \$130.00 to cover the requisite fee under 37 CFR 1.17(i).

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment associated with the above-identified petition to Deposit Account No. 02-4270.

Respectfully submitted,

Dated: July 6, 2000

Seth H. Ostrow  
Reg. No. 37,410  
Attorney for Applicants  
BROWN RAYSMAN MILLSTEIN FELDER  
& STEINER LLP  
120 West Forty-Fifth Street  
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(212) 944-1515

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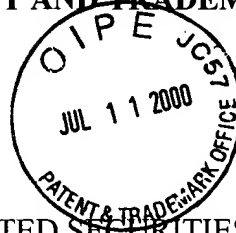
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**STATEMENT IN SUPPORT OF PETITION UNDER 37 CFR 1.102(d)**  
**BASED ON ACTUAL INFRINGEMENT**

1. I, Seth H. Ostrow, am an attorney authorized to practice before the U.S. Patent and Trademark Office.
2. I am aware that there is at least one system actually on the market or method in use which potentially infringes the above captioned pending U.S. patent application.
3. I made a rigid comparison of the alleged infringing system(s) or method(s) with the claims of the above-referenced application, and, in my opinion, some of the claims are unquestionably infringed.
4. A careful and thorough search of the prior art has been made. All such material art is provided to the Examiner as having been filed in application serial No. 08/620,906 (now U.S. Patent 5,950,176) which is the parent of the above-referenced application and being supplemented by the accompanying information disclosure statement.

Date: July 6, 2000

BROWN RAYSMAN MILLSTEIN  
FELDER & STEINER LLP

By: [Signature]

Seth H. Ostrow  
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